

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patent Application**

Applicant(s): Y.S. Bagga et al.  
Case: 1-1-1-1-1-1-1-3-1  
Serial No.: 09/520,133  
Filing Date: March 7, 2000  
Group: 2143  
Examiner: Alina A. Boutah

**Patent No.: 7,197,546**  
**Issued: March 27, 2007**

Title: Inter-Domain Network Management  
System for Multi-Layer Networks

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REQUEST FOR RECONSIDERATION OF DENIAL OF  
CERTIFICATE OF CORRECTION OF PATENT  
FOR PTO MISTAKE UNDER 37 C.F.R. §1.322(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Decisions & Certificate of Corrections Branch

Sir:

In accordance with 37 C.F.R. §1.322(a), Applicants hereby request issuance of a Certificate of Correction with respect to errors in the above-identified patent.

A Request for Certificate of Correction regarding this patent was filed on May 18, 2007, and was denied by Mr. Henry Randall and Ms. Cecelia Newman on July 5, 2007. In a telephone conversation with my associate, David E. Shifren (Reg. No. 59,329), on July 10, 2007, Mr. Randall indicated that, upon further review, the request appears to have been proper and that Applicants should file the present Request for Reconsideration.

The errors are set forth on the proposed Certificate of Correction (PTO-1050) submitted herewith. For the reasons below, Applicants respectfully submit that the errors are Patent Office

mistakes and, accordingly, this certificate should be issued pursuant to 37 C.F.R. §1.322(a). Moreover, the expedited procedure of MPEP § 1480.01 should be utilized in light of the supporting documentation submitted herewith.

The errors for which correction is requested relate to two similarly-titled articles, both by Mark H. Mortenson, cited during the examination of this application.

M. Mortenson, Lucent Network & Services Management White Paper, "Operations Architecture for Data-Centric Converged Telecommunications Networks: Lucent Technologies' Open Operations CORBA Architecture," Lucent Technologies, 1999, Pages. 1-10 [hereinafter "the 1999 reference"] was cited by the Applicants in an Information Disclosure Statement (PTO-1449) submitted with the application on March 7, 2000, initialed by the Examiner on January 24, 2003, and submitted herewith. This reference was also cited by the Examiner on Page 2 of a Notice of References Cited (PTO-892) furnished with an Office Action dated February 10, 2003, also submitted herewith.

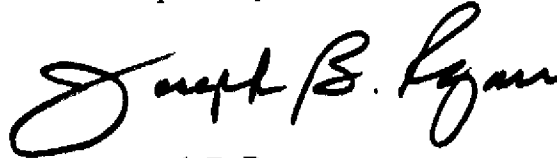
Mark H. Mortenson, "Operations Architecture for Data-Centric Converged Telecommunications Networks," Operations Support Systems 2002: Enabling the Next-Generation Network, Comprehensive Report, International Engineering Consortium, pp. 171-182, 2001 [hereinafter "the 2001 reference"] was cited by the Applicants in a Supplemental Information Disclosure Statement (PTO-1449) submitted on June 10, 2003, initialed by the Examiner on August 25, 2003, and submitted herewith.

The 2001 reference is not listed in the "References Cited" section of the published patent, despite having been put on the record by Applicants and considered by the Examiner. Accordingly, Applicants respectfully request that the 2001 reference be added to the list of references cited.

In citing the 1999 reference on the PTO-892 form, the Examiner misspelled the word "Operations" as "Opeations." This mistake is present both on the PTO-892 form completed by the Examiner and in the issued patent; specifically, the "References Cited" section under "Other Publications," in the last line of the left column of Page 2. Moreover, "Operations" is spelled correctly on the PTO-1449 form submitted by the Applicants prior to the Examiner's erroneous PTO-892. As this mistake was made by the Examiner and not the Applicants, it is attributable to the Patent and Trademark Office.

It is believed that there is no additional fee due in conjunction with this Request for Reconsideration of Denial of Certificate of Correction. In the event of any non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or to credit **Ryan, Mason & Lewis, LLP Deposit Account No. 50-0762** as required to correct the error.

Respectfully submitted,

A handwritten signature in black ink, reading "Joseph B. Ryan". The signature is fluid and cursive, with the first name "Joseph" and last name "Ryan" clearly legible.

Date: July 11, 2007

Joseph B. Ryan  
Attorney for Appellant(s)  
Reg. No. 37,922  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560  
(516) 759-7517

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,197,546  
APPLICATION NO.: 09/520,133  
ISSUE DATE : March 27, 2007  
INVENTOR(S) : Y.S. Bagga et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the "References Cited" section under "Other Publications", please add the following:

--Mark H. Mortensen, "Operations Architecture for Data-Centric Converged Telecommunications Networks,"  
Operations Support Systems 2002: Enabling the Next-Generation Network, Comprehensive Report,  
International Engineering Consortium, 2001, pp. 171-182--.

In the "References Cited" section under "Other Publications", page 2, column 1, last line, please delete  
"Opeations" and insert --Operations--.

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph B. Ryan  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, New York 11560

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

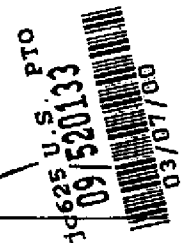
*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**FORM PTO-1449 (MODIFIED)**

**LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): Y.S. Bagga et al.  
Case: 1-1-1-1-1-1-1-3-1  
Serial No.: TBA  
Filing Date: March 7, 2000  
Group: TBA

#4



**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO
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**OTHER DOCUMENTS**

EXAMINER INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
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- ANS 1. ITU-T, "Recommendation G.805 - Generic Functional Architecture of Transport Networks," 45 pages, November 1995.
- ANS 2. ITU-T, "Recommendation M.3100 - Generic Network Information Model," 99 pages, July 1995.
- ANS 3. M. Mortensen, "Operations Architecture for Data-Centric Converged Telecommunications Networks: Lucent Technologies Open Operations CORBA Architecture," Lucent Network and Services Management White Paper, pp. 1-10, 1999.

Examiner

Date Considered

Alvin Bant

1/24/03

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**Notice of References Cited**

Application/Control No.

09/520,133

Applicant(s)/Patent Under  
Reexamination  
BAGGA ET AL.

Examiner

Alina N Boutah

Art Unit

2143

Page 2 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Bjerring et al. "Experiences in developing multi-technology TMN systems." IEEE, 1998. Pages 445-454.
	V	Mortensen, M. Lucent Network & Services Management White Paper. "Operations Architecture for Data-Centric Converged Telecommunications Networks: Lucent Technologies' Open Operations CORBA Architecture." Lucent Technologies, 1999. Pages 1-10.
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

FORM PTO-1449 (MODIFIED)  
LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT



Applicant(s): J. Bagga et al.  
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EXAMINER						FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS

EXAMINER						TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO

OTHER DOCUMENTS

EXAMINER				
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.		

*ANB* Mark H. Mortensen, "Operations Architecture for Data-Centric Converged Telecommunications Networks,"  
Operations Support Systems 2002: Enabling the Next-Generation Network, Comprehensive Report,  
International Engineering Consortium, pp. 171-182, 2001.

Examiner

Date Considered

*Alvin B. Batist*

*8/25/03*

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.